

90 Rec'd PCT/PTO 19 JUN 2002

Docket No. 220448US0PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Reinhold SCHWALM, et al.

SERIAL NO: 10/088,520

GAU:

FILED: March 29, 2002

EXAMINER:

FOR: AQUEOUS POLYURETHANE DISPERSIONS WHICH CAN BE HARDENED WITH UV-RADIATION AND THERMALLY, AND USE THEREOF

#4  
7-15-03

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.

A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.

A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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| Form PTO 1449<br>(Modified)  |    | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE |          | ATTY DOCKET NO.<br>220448US0PCT |                 | SERIAL NO.<br>10/088,520   |                            |
|--|----|--|----------|---------------------------------|-----------------|--|----------------------------|
| LIST OF REFERENCES CITED BY APPLICANT  |    | APPLICANT<br>Reinhold SCHWALM, et al.                      |          |                                 |                 |  |                            |
|  |    | FILING DATE<br>March 29, 2002                              |          | GROUP                           |                 |  |                            |
| <b>U.S. PATENT DOCUMENTS</b>   |    |  |          |                                 |                 |  |                            |
| EXAMINER INITIAL   |    | DOCUMENT NUMBER  | DATE     | NAME                            | CLASS           | SUB CLASS  | FILING DATE IF APPROPRIATE |
|  | AA | 5 859 135  | 01/12/99 | Willy Frans Anna DOOMEN et al.  |                 |  |                            |
|  | AB | 5 296 529  | 03/22/94 | Yoshiyuki YUKAWA et al.         |                 |  |                            |
|  | AC |  |          |                                 |                 |  |                            |
|  | AD |  |          |                                 |                 |  |                            |
|  | AE |  |          |                                 |                 |  |                            |
|  | AF |  |          |                                 |                 |  |                            |
|  | AG |  |          |                                 |                 |  |                            |
|  | AH |  |          |                                 |                 |  |                            |
|  | AI |  |          |                                 |                 |  |                            |
|  | AJ |  |          |                                 |                 |  |                            |
|  | AK |  |          |                                 |                 |  |                            |
|  | AL |  |          |                                 |                 |  |                            |
|  | AM |  |          |                                 |                 |  |                            |
|  | AN |  |          |                                 |                 |  |                            |
| <b>FOREIGN PATENT DOCUMENTS</b>  |    |  |          |                                 |                 |  |                            |
|  |    | DOCUMENT NUMBER  | DATE     | COUNTRY                         | TRANSLATION     |  |                            |
|  | AO | 2 159 265  | 03/29/96 | CA (DE 4434554 equivalent)      | YES             | NO   | No                         |
|  | AP | 198 60 041   | 12/23/98 | DE                              |                 |  | No                         |
|  | AQ |  |          |                                 |                 |  |                            |
|  | AR |  |          |                                 |                 |  |                            |
|  | AS |  |          |                                 |                 |  |                            |
|  | AT |  |          |                                 |                 |  |                            |
|  | AU |  |          |                                 |                 |  |                            |
|  | AV |  |          |                                 |                 |  |                            |
| <b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>   |    |  |          |                                 |                 |  |                            |
|  | AW |  |          |                                 |                 |  |                            |
|  | AX |  |          |                                 |                 |  |                            |
|  | AY |  |          |                                 |                 |  |                            |
|  | AZ |  |          |                                 |                 | <input type="checkbox"/> Additional References sheet(s) attached |                            |
| Examiner   |    |  |          |                                 | Date Considered |  |                            |
| *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |    |  |          |                                 |                 |  |                            |

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 TC 1700

U.S. PCT Application Serial No. 10/088,520  
Docket No: 220448US0PCT

#### STATEMENT OF RELEVANCY

- 1) References \_\_\_\_\_ have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.
- 2) References \_\_\_\_\_ have been cited in the corresponding \_\_\_\_\_ Search Report. A copy of these references is being submitted herewith.
- 3) References AA-AB and AO-AP are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References \_\_\_\_\_ are additional prior art known to Applicant. A copy of these references is being submitted herewith.